## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Paten Washington, D.C. 20231885 U.S. Pro Sir:	Atty. Dkt.: 3642-2  Date: September 29, 2000	; ;	1675658 PTO
Attached for filing is the patent application of: Inventor: WEINBERGER Entitled: MUTUAL FUND ANALYSIS METHOD SYSTEM	) AND		60
<ul> <li>and including attachments as noted below:</li> <li>Declaration, Abstract</li> <li>pages of specification and claims (includin sheets of accompanying drawing/s.</li> <li>Record &amp; return the attached assignment is hereby claimed under 35 USC 1 is hereby incorporated by reference in this</li> </ul>	to the undersigned. I 9 based on the following foreign appl	ications, the entire cont	ent of which
Application Number	Country	Day/Month/Yea	r Filed
, respectively.  Certified copy(ies) of foreign application(s)  Please amend the specification by inserting the entire content of which is hereby incomposite the entire content of which is hereby incorporate the entire content of which is hereby incorporate.  Application Number	g before the first lineThis is a orporated by reference in this applicat 20/365 based on the following prior PC	CT applications designa ::	, filed iting the U.S., nth/Year Filed
This application is based on the following r	•	<b>54</b> ,c	
Priority is hereby claimed under 35 USC 13 the entire content of which is hereby incorp Application Number  This application is based on the following part Application No. 60/156,886  respectively, the entire content of which is hereby claimed therefrom.  Please amend the specification by inserting Provisional Application No. 60/156,886, file incorporated by reference in this application Two (2) Verified Statements attached estated The Examiner's attention is directed to the the reasons stated therein.  Preliminary amendment to claims (attached Also attached: Exclusive Substitute Pow FILING FEE IS BASED ON CI	Filing Date 30 September 1999 hereby incorporated by reference in the graph before the first line: This application of September 30, 1999, the entire conn blishing "small entity" status (Rules 9 prior art cited in the parent application of the hereto), to be entered before calculated.	on claims the benefit of tent of which is hereby & 27) In by applicant and/or Example of the fee below.  The property of Assignment attaches a contract of the fee below.	U.S.
Basic Filing Fee	0 x\$ 18.00	\$ \$	690.00 0.00
Total effective claims 20 - 20 (at least 20) = Independent claims 3 - 3 (at least 3) = If any proper multiple dependent claims now added for If "small entity," then enter half (1/2) of subtotal and su	0 x\$ 78.00 r first time, add \$260.00 (ignore improper) btract	SUBTOTAL \$ -\$( ECOND SUBTOTAL \$	0.00 0.00 0.00 690.00 345.00) 345.00
Assignment Recording Fee (\$40.00)		\$	40.00
Any future submission requiring an extension of time, is The Commissioner is hereby authorized to charge any filed herewith (or with any paper hereafter filed in this a sheet is attached.	s hereby stated to include a petition for suc deficiency in the fee(s) filed, or asserted t	o be filed, or which should	
1100 North Glebe Road, 8 <sup>th</sup> Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100 AMK:jls	NIXON & VANDERHY By Atty: Alan M. Kage Signature:		

Applicant or Pa	tentee:		BERGE	R		x ney's	s. Dkt. No.	3642-2
Serial or Patent	_	To Be Ass						
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For:		<u>.</u>				OD AND SYSTE		
		S	TATUS [	37 19(f) and 1.27	(c)] - INDEPENI	MING SMALL EN DENT INVENTOR		
As a below nan purposes of pay Trademark Office	ying redu	uced fees u	ınder Se	ction 41 (a) and	as an independ (b) of Title 35,	lent inventor as o United States C	defined in 3 ode, to the	37 CFR 1.9(c) for Patent and
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Alan L. Weinberger		
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
Slaw J. Memberser		
Signature	Signature	Signature
9-27-2000		
DATE	DATE	DATE

Form PTO-FB-A410 (8-83)

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Applicant or Patentee:	WEBERGER	Attorney's Dkt. No.	3642-2
Serial or Patent No.:	To Be Assigned		
Filed or Issued:	Concurrently Herewith		
For:	MUTUAL FUND ANALYSIS		
	VERIFIED STATEMENT (DECLARATION		•
	STATUS [37 19(f) and 1.27(c)] - SM	IALL BUSINESS CONCERN	
I hereby declare that I	am		
☐ the ow	mer of the small business concern identifie		
	cial of the small business concern empowe	ared to act on behalf of the concern iden	tified below:
NAME	OF CONCERN G*G*S Systems, LLC		
ADDR	ESS OF CONCERN 2241 Cedar Cove C	ourt, Reston, Virginia 20191-4100	
I hereby declare that the	ne above identified small business concern	qualifies as a small business concern a	is defined in 13
CFR 121.3-18, and rep	produced in 37 CFR 1.9(d), for purposes of	paying reduced fees under section 41(	a) and (b) of Title
35, United States Code	e, in that the number of employees of the c	oncern, including those of its affiliates, of	10es not exceed
500 persons. For purp	oses of this statement (1) the number of el	mployees of the business concern is the	average over
the previous fiscal year	r of the concern of the persons employed o	on a full-time, part-time or temporary bas	iroothy one
the pay periods of the	fiscal year, and (2) concerns are affiliates of	of each other when either, directly of ind	r to control both
concern controls or ha	s the power to control the other, or a third p	party or parties controls of has the power	ir to control both.
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by inventors	WEINBERGE	R	described in
	on filed herewith.		
application Ser		filed	
patent No.		ssued	
If the rights held by the	above-identified small business concern a	are not exclusive, each individual, conce	ern or Porcon, other tha
organization naving rig	hts to the invention is listed below* and no not qualify as an independent inventor un	dor 27 CED 1 9(a) if that person made the	he invention or
by any concern which	would not qualify as a small business conc	ern under 37 CFR 1 9/d) or a nonprofit	organization
under 27 CER 1 9/6\	NOTE: Separate verified statements are re	equired from each named person, conce	ern or
organization having ric	this to the invention averring to their status	as small entities (37 CFR 1.27)	
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I acknowledge the dut	y to file, in this application of patent, notific	ation of any change in status resulting in	1 loss of
entitlement to small er	ntity status prior to paying, or at the time of	paying, the earliest of the issue fee of a	ny maintenance
fee due after the date	on which status as a small entity is no long	jer appropriate. [37 CFR 1.26(b)]	
1 h b do alana Abak a	Il statements made housin of my own know	worden are true and that all statements r	nade on
i nereby declare that a	all statements made herein of my own know are believed to be true; and further that the	vieuge are true and trial an statements in	wledge that willfu
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NAME OF PERSON S	SIGNING Alan L. Weinberg	ıer	
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ADDRESS OF PERSO		e Court, Reston, Virginia 20191-4100	
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